

02/15/02

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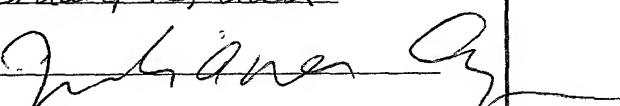
**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No.	SP02-034	Total Pages	2
First Named Inventor or Application Identifier			
Title : UNIPOLAR ELECTRICAL TO BIPOLAR OPTICAL CONVERTER			
Express Mail Label No.			EV060837017US

**CERTIFICATE OF EXPRESS MAIL UNDER 37 CFR 1.10:**

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is Addressed to the Commissioner of Patents and Trademarks, Washington, DC 20231

on February 15, 2002  
(Date)Signature 

"EXPRESS MAIL" Mailing Label No. EV060837017US

Assistant Commissioner for Patents  
**ADDRESS TO:**  
Box Patent Application  
Washington, DC 20231

10/07/2002



02/15/02

1. <input checked="" type="checkbox"/> * Fee Transmittal Form (Submit an original and a duplicate for fee processing)	5. <input type="checkbox"/> Microfiche Computer Program (Appendix)
2. <input checked="" type="checkbox"/> Specification (preferred arrangement set forth below) - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R&D - Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure	6. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies
3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. § 113) [Total Sheets 6]	7. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))
4. Oath or Declaration [Total Pages 2]	8. <input checked="" type="checkbox"/> 37 C.F.R. § 3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney (when there is an assignee)
a. <input checked="" type="checkbox"/> Executed (original or copy)	9. <input type="checkbox"/> English Translation Document (if applicable)
b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d)) (for continuation/divisional with Box 16 completed)	10. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Citations
i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).	11. <input type="checkbox"/> Preliminary Amendment
	12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (should be specifically itemized)
	13. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)
	14. <input type="checkbox"/> Other:

**ACCOMPANYING APPLICATION PARTS**

7.  Assignment Papers (cover sheet & document(s))

8.  37 C.F.R. § 3.73(b) Statement  Power of Attorney  
(when there is an assignee)

9.  English Translation Document (if applicable)

10.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations

11.  Preliminary Amendment

12.  Return Receipt Postcard (MPEP 503)  
(should be specifically itemized)

13.  Certified Copy of Priority Document(s)  
(if foreign priority is claimed)

14.  Other:

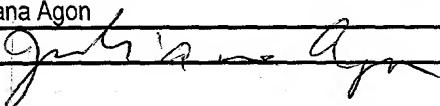
**16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:** Continuation  Divisional.  Continuation-in-part (CIP) of prior application No

Prior application information: Examiner: Group / Art Unit:

**For CONTINUATION or DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**17. CORRESPONDENCE ADDRESS**

Customer Number or Bar Code Label 22928 or  Correspondence address below

NAME	Juliana Agon				
ADDRESS	Corning Incorporated, SP-TI-3-1				
CITY	Corning	STATE	NY	ZIP CODE	14831
COUNTRY	USA	TELEPHONE	(607) 974-6574	FAX	(607) 974-3848
Name (Print/Type)	Juliana Agon	Registration No. (Attorney/Agent)			33,468
Signature				Date	February 15, 2002

# FEE TRANSMITTAL for FY 2001

**TOTAL AMOUNT OF PAYMENT** **(\$)****740.00**

## METHOD OF PAYMENT (check one)

The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number  
03-3325

Deposit Account Name  
Corning Incorporated

Charge Any Additional Fees Required  
Under 37 C.F.R. §§ 1.16 and 1.17

Payment Enclosed:  
 Check  Money Order  Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
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101	740		Utility filing fee	740.00
106	330		Design filing fee	
107	510		Plant filing fee	
108	740		Reissue filing fee	
114	160		Provisional filing fee	

### SUBTOTAL (1)

(\$740.00)

### 2. EXTRA CLAIM FEES

Extra Fee from Claims below	Fee Paid
Total Claims 20 - 20** = 0 x 18 =	00.00
Independent Claims 3 - 3** = 0 x 84 =	00.00
Multiple Dependent	0 = 0.00

\*\*or number previously paid, if greater; For Reissues, see below

Large Entity	Fee Code	Fee (\$)	Fee Description
103	18		Claims in excess of 20
102	84		Independent claims in excess of 3
104	280		Multiple dependent claim, if not paid
109	84		** Reissue independent claims over original patent
110	18		** Reissue claims in excess of 20 and over original patent

### SUBTOTAL (2)

(\$00.00)

## Complete if Known

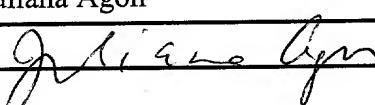
Application Number	To Be Assigned
Filing Date	Herewith
First Named Inventor	Conradi, Jan
Examiner Name	To Be Assigned
Group / Art Unit	To Be Assigned
Attorney Docket Number	SP02-034

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
	105	130	Surcharge - late filing fee or oath	
	127	50	Surcharge - late provisional filing fee or cover sheet	
	139	130	Non-English specification	
	147	2,520	For filing a request for reexamination	
	112	920*	Requesting publication of SIR prior to Examiner action	
	113	1,840	Requesting publication of SIR after Examiner action	
	115	110	Extension for reply within first month	
	116	390	Extension for reply within second month	
	117	890	Extension for reply within third month	
	118	1,390	Extension for reply within fourth month	
	128	1,890	Extension for reply within fifth month	
	119	310	Notice of Appeal	
	120	310	Filing a brief in support of an appeal	
	121	270	Request for oral hearing	
	138	1,510	Petition to institute a public use proceeding	
	140	110	Petition to revive - unavoidable	
	141	1,240	Petition to revive - unintentional	
	142	1,240	Utility issue fee (or reissue)	
	143	440	Design issue fee	
	144	600	Plant issue fee	
	122	130	Petitions to the Commissioner	
	123	50	Petitions related to provisional applications	
	126	240	Submission of Information Disclosure Stmt	
	581	40	Recording each patent assignment per property (times number of properties) _____ x _____	
	146	710	Filing a submission after final rejection (37 C.F.R. § 1.129(a))	
	149	710	For each additional invention to be examined (37 C.F.R. § 1.129(b))	
	Other fee (specify) _____			
	Other fee (specify) _____			
	*Reduced by Basic Filing Fee Paid			<u>SUBTOTAL (3)</u> <u>(\$)</u>

## Completed (if applicable)

Name (Print/Type)	Juliana Agon	Registration No. (Attorney/Agent)	33,468
Signature		Date	February 15, 2002